

# Chart Privacy Information

## I. Introduction

The Chart Privacy Information (“**the Information**”) provides you with important information about the processing of your personal data in the course of the contractual relationship with one of the Chart entities listed below in **Annex 1**, which includes the transfer to and among Chart Industries, Inc. and its affiliates (together referred to as “**Chart**”).

## II. Controller

The relevant controller for your personal data is the Chart entity that you or your company entered into a contract with, and in a number of cases is a joint controller together with Chart Industries, Inc., with its business address at 3055 Torrington Drive, Ball Ground, GA 30107, USA. The respective Chart entity you or your company contracted with will be responsible for any requests in relation to the data processing and will provide you with relevant information in this regard. However, you may exercise your rights described at the end of this Information also towards Chart Industries, Inc.

## III. Data Protection Representative

Since Chart Industries, Inc. as a company is not residing in the EU, it has appointed Chart Germany GmbH, Am Mooshof 15, 47574 Goch, Germany, as its EU representative for data protection according to Art. 27 of the General Data Protection Regulation (**GDPR**).

## IV. Data Protection Officer

Chart Industries, Inc. has appointed SPB DPO Services GmbH, with its business address at Unter den Linden 21, 10117 Berlin, Germany as Data Protection Officer for its entities located in Germany.

## V. Categories of Personal Data

We may process the following categories of personal data:

- First name, middle name (initial), last name, title, job description, employing company, employing department, work address, work email and phone number, work location and country, current work location, previous positions, further information concerning career history, background check information, professional expertise, if applicable;
- time cards, attendance records, accident records; background checks, (professional) licenses issued,
- Information on time keeping, attendance, cost center information, payment detailed information, such as IBAN, bank identifier code, SWIFT code, credit card information (card holder, number, expiration date, CVV), if applicable;
- Personal contact details, phone number, cellular phone number, and personal email address, date of birth/age, identification number including identifiers issued by public bodies (passport, driver license, ID, birth certificate number), citizenship, gender, physical description, emergency contacts, lifestyle and social circumstances, and
- IT services information, such as IP addresses, device type and attributes, computing system attributes, including without limitation, operating system attributes; application attributes, cookie and similar IDs.

Please note that we do not necessarily process all of such data about you. We restrict the processing of your personal data to the minimum amount required to execute the contractual relationship.

## **VI. Purpose of Use**

Your personal data may be processed for the purpose of

- Executing the contractual relationship with you and/or your company, including but not limited to the administration of procurement and commercial management, accounting, billing, and payment management, recordkeeping, including but not limited to accounting as well as reporting, obtaining consultancy and advisory services, as well as Chart's conduct of similar efforts;
- Provision of access, including but not limited to the granting of access to Chart facilities by issuing access cards, transponders and/or by other means, if applicable, as well as administration efforts pertaining thereto, along with the granting of access to onsite infrastructure, such as machinery and laboratories, if applicable, which comprises the processing of access information and/or documentation by Chart's systems, and may include the processing of personal data for work place safety and health purposes, i.e. through incident reports, if applicable, as well as similar requirements, which may vary depending on the location and possible insurance requirements applicable;
- IT infrastructure access, if applicable, which includes but is not limited to the provision of software, hardware and/or file management support through Chart's IT departments and help desks, and/or other competent departments;
- Conducting corporate compliance and other commercially relevant activities, including but not limited to audits, background checks, regulatory and/or export control compliance as well as conducting legal tasks such as investigations and litigations, handling complaints, internal audits, third party audits, including anticorruption screening, as well as third party due diligences, if applicable, along with any activities related thereto.

## **VII. Legal Basis for Processing Personal Data**

Your personal data is processed in accordance with Art. 6 par. 1 b) GDPR for the performance of the contractual relationship. If you are an individual representing your company, your personal data will be processed for the purposes indicated in VI above on the basis provided by Art. 6 par. 1 f) GDPR of our legitimate interest as well as that of your company, to correctly manage the commercial relationship and monitor compliance with the law and statutory obligations. The same will apply in cases where we transfer your personal data to another group company or to other recipients for the said purposes. To the extent we are required to process your personal data in order to comply with a legal obligation, we will process your personal data on the basis of Art. 6 par. 1 c) GDPR.

## **VIII. Source of Data**

We received your personal data mainly because you provided it to us or we have been collecting it during the course of the contractual relationship we maintain with our suppliers for whom you are acting as an employee, sales representative, or in a similar capacity.

## **IX. Recipients**

Your personal data will only be accessible for employees of Chart and its subsidiaries who within their job responsibility execute the purposes listed above. Additionally, Chart and its affiliates may commission external third party service providers to assist with the provision of services listed above under VI. (purpose of processing), such as for IT maintenance, payment administration, background checks and similar services where applicable, banks, insurance companies, and telecommunications companies. In providing these services, employees of these third party service providers may receive access to your personal data. However, Chart shares your personal data only with trusted service providers pursuant to contractual agreements that include appropriate safeguards to protect your personal data. It is specified that, upon formal request, your personal data may be transmitted to the Authorities. Chart does not sell your personal information.

## **X. Transfer**

The Chart entity you or your company contracted with will transfer your personal data to Chart in the US and other countries outside of the European Union where Chart's subsidiaries and some of its service providers are located. A complete list of countries where Chart entities are located can be found in **Annex 1**. The transfer among the Chart group companies is based on the EU Standard Contractual Clauses, which are incorporated into Chart's Intragroup Data Transfer and Processing Agreement. You may request a copy of the EU Standard Contractual Clauses by contacting [GlobalDataPrivacy@ChartIndustries.com](mailto:GlobalDataPrivacy@ChartIndustries.com). As some of the third party providers Chart shares your personal data with are located outside the EU and/or European Economic Area, Chart puts in place data transfer agreements based on the applicable EU Standard Contractual Clauses or relies on other available data transfer mechanisms.

## **XI. Data Retention**

Your personal data will be processed for the time period it is required to execute the task for which it was collected, and for an additional period after in order to comply with statutory storage periods. You may also contact [GlobalDataPrivacy@ChartIndustries.com](mailto:GlobalDataPrivacy@ChartIndustries.com) in this regard.

## **XII. Your Rights**

You may contact us either in writing or by e-mail at [GlobalDataPrivacy@ChartIndustries.com](mailto:GlobalDataPrivacy@ChartIndustries.com), visiting our website at <http://www.chartindustries.com/Contact-Us>, or by calling 1 800-400-4683 to exercise the following rights:

- Access your data to check and review them;
- Receive a copy of your personal data;
- Receive an accounting of certain disclosures for business purposes;
- Demand that we supplement, correct or delete your personal data; or cease or restrict the collection, processing, use or disclosure of your personal data; the right of rectification will comprise the right to have incomplete personal data completed, including by means of providing a supplementary statement;
- Object to the processing of your personal data;
- Receive your personal data in a structured, commonly used and machine-readable format and have it transmitted to another controller provided that the processing is based on your respective consent or to execute a contractual relationship or a relationship prior to entering into contract with you.

You have the right to lodge a complaint with a supervisory authority in relation to the processing of your personal data. You may file such complaint with a supervisory authority competent for your place of residence or competent for the Chart entity's place of business you contracted with.

We will not discriminate against you if you exercise any of these rights, except as permitted by law.

### **XIII. Statutory/Contractual Requirements**

You may choose not to provide your personal data or provide incomplete personal data. However, you should be aware that we might not be able to engage in or continue a contractual relationship with you or the company that is employing you or provide information to you or your employer since your personal data is required for our administrative purposes or to fulfil statutory requirements.

### **XIV. Automated Decision-Making and Profiling**

Your personal data will not be used for automated-decision making or profiling.

### **XV. Intention of processing for other purposes**

Please note that we may use your personal data for other purposes than it was collected for. In case we decide to start such use we will provide you with the relevant information on that purpose and other relevant information.

**Annex 1  
Chart entities**

**Chart entities located within the United States of America**

<b>Chart entity</b>	<b>Jurisdiction of Formation</b>
Chart International, Inc.	Delaware
Chart Inc.	Delaware
Chart Energy & Chemicals, Inc.	Delaware
Chart Lifecycle, Inc.	Delaware
Hetsco, Inc.	Delaware
Chart Cooler Service Company, Inc.	Delaware
Thermax, Inc.	Massachusetts
Chart Asia, Inc.	Delaware
Hudson Products Corporation	Texas
Cofimco USA, Inc.	Virginia
Hudson Products Middle East LLC	Delaware
Skaff, LLC	Delaware
Prefontaine Properties, Inc.	New Hampshire
Skaff Cryogenics, Inc.	New Hampshire
E&C FinFan, Inc.	Delaware

**Chart entities located within the European Union**

<b>Chart entity</b>	<b>Jurisdiction of Formation</b>
Chart Industries Luxembourg S.a.r.l.	Luxembourg
Chart Ferox, a.s	Czech Republic
Chart Germany GmbH	Germany
GOFA Gocher Fahrzeugbau GmbH	Germany
VCT Vogel GmbH	Germany
Flow Instruments & Engineering GmbH	Germany
Chart S.a.r.l. & Co. KG	Germany
Chart Industries (Gibraltar) Limited	Gibraltar
Chart Industries Limited	United Kingdom

Hudson Products Netherlands B.V.	Netherlands
Cofimco S.r.l.	Italy
Fema s.r.l.	Italy
Industrie Meccaniche di Bagnolo s.r.l.	Italy
VRV Services s.r.l.	Italy
VRV S.r.l.	Italy
Cryo Diffusion S.A.S.	France

#### Chart entities located in Asia

Chart entity	Jurisdiction of Formation
Lien Hwa Lox Cryogenic Equipment Corporation	Taiwan
PT. Thermax	Indonesia
Thermax Cryogenic Heat Exchangers Trading (Shanghai) Co., Ltd,	China
MVE CryoSystems Japan Kabushiki Kaisha	Japan
Chart D&S India Private Limited	India
Chart Asia Investment Company Limited	Hong Kong
Chart Cryogenic Distribution Equipment (Changzhou) Company Limited	China
Nanjing New Metallurgy Electric Engineering Co., Ltd.	China
Chart Industries (Malaysia) Sdn. Bhd.	Malaysia
Cofimco Industrial Fans India Private Ltd.	India
Cofimco International (Shanghai) Trading Co, Inc.	China
Hudson-Cofimco Limited	Hong Kong
Cofimco Fan (Changshu) Co., Ltd.	China

#### Chart entities located in Australia

Chart entity	Jurisdiction of Formation
Chart Australia Pty. Ltd.	Australia NSW

**Chart entities located in Latin America**

<b>Chart entity</b>	<b>Jurisdiction of Formation</b>
Chart Latin America S.A.S.	Colombia
Hudson Heat Transfer International, Inc.	Panama
Hudson Products de Mexico, S.A. de C.V.	Mexico